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# Remark on skew $m$ -complex symmetric operators (Research on structure of operators using operator means and related topics)

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# Remark on skew $m$ -complex symmetric operators

by

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## Abstract

In this paper we study skew  $m$ -complex symmetric operators. In particular, we prove that if  $T \in \mathcal{L}(\mathcal{H})$  is a skew  $m$ -complex symmetric operator with a conjugation  $C$ , then  $e^{itT}$ ,  $e^{-itT}$ , and  $e^{-itT^*}$  are  $(m, C)$ -isometric for every  $t \in \mathbb{R}$ . Moreover, we investigate some conditions for skew  $m$ -complex symmetric operators to be skew  $(m-1)$ -complex symmetric.

## 1 Introduction

The results in this paper will be appeared in other journals. Let  $\mathcal{L}(\mathcal{H})$  be the algebra of all bounded linear operators on a separable complex Hilbert space  $\mathcal{H}$ .

**Definition 1.1** An operator  $C$  is said to be a conjugation on  $\mathcal{H}$  if the following conditions hold:

- (i)  $C$  is antilinear;  $C(ax + by) = \bar{a}Cx + \bar{b}Cy$  for all  $a, b \in \mathbb{C}$  and  $x, y \in \mathcal{H}$ ,
- (ii)  $C$  is isometric;  $\langle Cx, Cy \rangle = \langle y, x \rangle$  for all  $x, y \in \mathcal{H}$ , and
- (iii)  $C$  is involutive;  $C^2 = I$ .

Moreover, if  $C$  is a conjugation on  $\mathcal{H}$ , then  $\|C\| = 1$ ,  $(CTC)^* = CT^*C$  and  $(CTC)^k = CT^kC$  for every positive integer  $k$ . For any conjugation  $C$ , there is an orthonormal basis  $\{e_n\}_{n=0}^\infty$  for  $\mathcal{H}$  such that  $Ce_n = e_n$  for all  $n$  (see [11] for more details). We first consider the following examples for conjugations.

**Example 1.2** Let's define an operator  $C$  as follows:

- (i)  $C(x_1, x_2, x_3, \dots, x_n) = (\bar{x}_1, \bar{x}_2, \bar{x}_3, \dots, \bar{x}_n)$  on  $\mathbb{C}^n$ .
- (ii)  $C(x_1, x_2, x_3, \dots, x_n) = (\bar{x}_n, \bar{x}_{n-1}, \bar{x}_{n-2}, \dots, \bar{x}_1)$  on  $\mathbb{C}^n$ .
- (iii)  $[Cf](x) = \overline{f(x)}$  on  $L^2(\mathcal{X}, \mu)$ .
- (iv)  $[Cf](x) = \overline{f(1-x)}$  on  $L^2([0, 1])$ .
- (v)  $[Cf](x) = \overline{f(-x)}$  on  $L^2(\mathbb{R}^n)$ .
- (vi)  $Cf(z) = \overline{zf(z)}u(z) \in \mathcal{K}_u^2$  for all  $f \in \mathcal{K}_u^2$  where  $u$  is inner function and  $\mathcal{K}_u^2 = H^2 \ominus uH^2$  is Model space.

Then each  $C$  in (i)-(vi) is a conjugation.

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In 1970, J. W. Helton [15] initiated the study of operators  $T \in \mathcal{L}(\mathcal{H})$  which satisfy an identity of the form;

$$\sum_{j=0}^m (-1)^{m-j} \binom{m}{j} T^{*j} T^{m-j} = 0. \quad (1)$$

Using the identity (1) and a conjugation operator, we define skew  $m$ -complex symmetric operators as follows; an operator  $T \in \mathcal{L}(\mathcal{H})$  is said to be a *skew  $m$ -complex symmetric operator* if there exists some conjugation  $C$  such that

$$\sum_{j=0}^m \binom{m}{j} T^{*j} C T^{m-j} C = 0$$

for some positive integer  $m$ . In this case, we say that  $T$  is skew  $m$ -complex symmetric with conjugation  $C$ . In particular, if  $m = 1$ , then  $T$  is said to be *skew complex symmetric*, i.e.,  $T = -CT^*C$ . Set  $\Gamma_m(T; C) := \sum_{j=0}^m \binom{m}{j} T^{*j} C T^{m-j} C$ . Then  $T$  is a skew  $m$ -complex symmetric operator with conjugation  $C$  if and only if  $\Gamma_m(T; C) = 0$ . Note that

$$T^* \Gamma_m(T; C) + \Gamma_m(T; C)(CTC) = \Gamma_{m+1}(T; C). \quad (2)$$

From (2), if  $T$  is skew  $m$ -complex symmetric with conjugation  $C$ , then  $T$  is skew  $n$ -complex symmetric with conjugation  $C$  for  $n \geq m$ . In general, skew  $m$ -complex symmetric operators are not skew  $(m-1)$ -complex symmetric.

**Example 1.3** Let  $Cx = \begin{pmatrix} \bar{x}_2 \\ \bar{x}_1 \end{pmatrix}$  for  $x = \begin{pmatrix} x_1 \\ x_2 \end{pmatrix}$  and  $T = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$  on  $\mathbb{C}^2$ . Then  $T^* = CTC = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}$  and so  $CT^2C + 2T^*CTC + T^{*2} = 0$ . But,  $CTC + T^* = 2 \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} \neq 0$ . Hence  $T$  is a skew 2-complex symmetric operator which is not skew complex symmetric (see [3]).

In 1995, Agler and Stankus ([1]) studied the following operator. For a fixed  $m \in \mathbb{N}$ , an operator  $T \in \mathcal{L}(\mathcal{H})$  is said to be an  *$m$ -isometric operator* if it satisfies an identity;

$$\sum_{j=0}^m (-1)^j \binom{m}{j} T^{*m-j} T^{m-j} = 0. \quad (3)$$

Using the identity (3) and a conjugation  $C$ , the authors of [9] define the following operator; An operator  $T \in \mathcal{L}(\mathcal{H})$  is said to be an  *$(m, C)$ -isometric operator* if there exists some conjugation  $C$  such that

$$\sum_{j=0}^m (-1)^j \binom{m}{j} T^{*m-j} C T^{m-j} C = 0 \quad (4)$$

for some  $m \in \mathbb{N}$ . In particular, if  $T = CTC$ , then  $T$  is an  $m$ -isometric operator. Put  $\Lambda_m(T) := \sum_{j=0}^m (-1)^j \binom{m}{j} T^{*m-j} C T^{m-j} C$ . Thus  $T$  is an  $(m, C)$ -isometric operator if and only if  $\Lambda_m(T) = 0$ . Note that

$$T^* \Lambda_m(T)(CTC) - \Lambda_m(T) = \Lambda_{m+1}(T). \quad (5)$$

From (5), if  $\Lambda_m(T) = 0$ , then  $\Lambda_n(T) = 0$  for all  $n \geq m$ . Moreover,  $T$  is an  $(m, C)$ -isometry if and only if  $CTC$  is an  $(m, C)$ -isometry (see [9]).

Next, we provide several examples of  $(m, C)$ -isometric operators with a conjugation  $C$ .

**Example 1.4** ([9]) Let  $C$  be the canonical conjugation on  $\mathcal{H}$  given by

$$C\left(\sum_{n=0}^{\infty} x_n e_n\right) = \sum_{n=0}^{\infty} \overline{x_n} e_n$$

where  $\{e_n\}$  is an orthonormal basis of  $\mathcal{H}$  with  $Ce_n = e_n$  for all  $n$ . Assume that  $W$  is the weighted shift given by  $We_n = \alpha_n e_{n+1}$  where  $\alpha_n = \sqrt{\frac{n+\alpha}{n+1}}$  for  $\alpha > 0$ . If  $\alpha = 1$ , then  $W = S$  is the unilateral shift. Hence  $S$  is  $(1, C)$ -isometry. If  $\alpha = 2$ , then, since  $W = CWC$ , it holds that

$$I - 2W^*CWC + W^{*2}CW^2C = 0.$$

Therefore,  $W$  is an  $(2, C)$ -isometric operator which is called the Dirichlet shift. On the other hand, if  $\alpha = m$ , then, since  $W = CWC$ , it holds that

$$\sum_{j=0}^m (-1)^j \binom{m}{j} W^{*m-j} C W^{m-j} C = 0.$$

So,  $W$  is an  $(m, C)$ -isometric operator.

**Example 1.5** ([9]) Let  $C$  be a conjugation defined by  $Cf(z) = \overline{f(\overline{z})}$  and let  $\{e_n\}_{n=0}^{\infty}$  be an orthonormal basis of  $H^2$ . Set  $\mathcal{C} = C \oplus C$ . Then  $\mathcal{C}$  is clearly a conjugation on  $H^2 \oplus H^2$ . Assume that

$$T = \begin{pmatrix} S & e_0 \otimes e_0 \\ 0 & I \end{pmatrix} \in \mathcal{L}(H^2 \oplus H^2)$$

where  $S$  is the unilateral shift on  $H^2$ . Then

$$\begin{aligned} \Lambda_2(T) &= T^*(T^*CTC - I)CTC - (T^*CTC - I) \\ &= \begin{pmatrix} 0 & 0 \\ 0 & e_0 \otimes e_0 \end{pmatrix} - \begin{pmatrix} 0 & 0 \\ 0 & e_0 \otimes e_0 \end{pmatrix} = 0. \end{aligned}$$

Hence  $T$  is an  $(2, \mathcal{C})$ -isometric operator. If  $R = S + e_0 \otimes e_0$ , then

$$CRC = CSC + C(e_0 \otimes e_0)C = S + e_0 \otimes e_0.$$

Since  $S^*e_0 = 0$ , it follows that  $R^*CRC = (S^* + e_0 \otimes e_0)(S + e_0 \otimes e_0) = I + e_0 \otimes e_0$  and so

$$\begin{aligned} \Lambda_2(R) &= R^*(R^*CRC - I)CRC - (R^*CRC - I) \\ &= (S^* + e_0 \otimes e_0)(e_0 \otimes e_0)(S + e_0 \otimes e_0) - e_0 \otimes e_0 = 0. \end{aligned}$$

Therefore,  $R$  is an  $(2, C)$ -isometric operator.



## 2 $(m, C)$ -isometric operators

In this section, we state properties of  $(m, C)$ -isometric operators which are the known results in [9].

**Theorem 2.1** *Let  $T \in \mathcal{L}(\mathcal{H})$  and let  $C$  be a conjugation on  $\mathcal{H}$ . Then the following statements hold.*

- (i) *If  $T$  is an invertible, then  $T$  is an  $(m, C)$ -isometric operator if and only if  $T^{-1}$  is an  $(m, C)$ -isometry.*
- (ii) *If  $T$  is an  $(m, C)$ -isometric operator with the conjugation  $C$  and  $T$  is complex symmetric, i.e.,  $T = CT^*C$ , then  $T$  is an algebraic operator of order at most  $2m$ .*
- (iii) *If  $\{T_k\}$  is a sequence of  $(m, C)$ -isometric operators with conjugation  $C$  such that  $\lim_{k \rightarrow \infty} \|T_k - T\| = 0$ , then  $T$  is also an  $(m, C)$ -isometric operator.*
- (iv) *If  $T$  is an  $(m, C)$ -isometric operator, then  $T^n$  is also an  $(m, C)$ -isometric operator for any  $n \in \mathbb{N}$ .*

If  $T \in \mathcal{L}(\mathcal{H})$ , we write  $\sigma(T)$ ,  $\sigma_p(T)$  and  $\sigma_a(T)$  for the spectrum, the point spectrum and the approximate point spectrum of  $T$ , respectively.

**Lemma 2.2** *Let  $T \in \mathcal{L}(\mathcal{H})$  be an  $(m, C)$ -isometric operator where  $C$  is a conjugation on  $\mathcal{H}$ . Then  $0 \notin \sigma_a(T)$ .*

We observe from Lemma that both  $\text{ran}(T)$  and  $\ker(T)$  are closed complemented subspaces. If  $\text{ran}(T) = \mathcal{H}$ , then  $T$  is invertible. Otherwise,  $\text{ran}(T)$  is a nontrivial invariant subspace of  $T$ . Hence the representation of  $T$  with respect to the Hilbert space decomposition  $\text{ran}(T) \oplus \ker(T^*) = \mathcal{H}$  is the upper triangular matrices

$$\begin{pmatrix} T_1 & T_2 \\ 0 & 0 \end{pmatrix} : \text{ran}(T) \oplus \ker(T^*) \rightarrow \text{ran}(T) \oplus \ker(T^*)$$

where  $T_1 = T|_{\text{ran}(T)}$ , and  $T_2$  is an operator mapping  $\ker(T^*)$  into  $\text{ran}(T)$  and  $\ker(T^*)$ , respectively.

**Theorem 2.3** *Let  $T \in \mathcal{L}(\mathcal{H})$  be an  $(m, C)$ -isometric operator where  $C$  is a conjugation on  $\mathcal{H}$ . If  $\lambda \in \sigma_a(T)$ , then  $\frac{1}{\lambda} \in \sigma_a(T^*)$ . In particular, if  $\lambda$  is an eigenvalue of  $T$ , then  $\frac{1}{\lambda}$  is an eigenvalue of  $T^*$ .*

**Theorem 2.4** *Let  $T \in \mathcal{L}(\mathcal{H})$  be an  $(m, C)$ -isometric operator where  $C$  is a conjugation on  $\mathcal{H}$ . Let  $\lambda, \mu \in \mathbb{C}$  with  $\lambda\mu \neq 1$ . If  $\{x_n\}$  and  $\{y_n\}$  are sequences of unit vectors such that  $\lim_{n \rightarrow \infty} (T - \lambda)x_n = 0$  and  $\lim_{n \rightarrow \infty} (T - \mu)y_n = 0$ , then  $\lim_{n \rightarrow \infty} \langle Cx_n, y_n \rangle = 0$ . In particular, if  $(T - \lambda)x = 0$  and  $(T - \mu)y = 0$ , then  $\langle Cx, y \rangle = 0$ .*

**Corollary 2.5** *Let  $C$  be a conjugation on  $\mathcal{H}$ . If  $T \in \mathcal{L}(\mathcal{H})$  is an  $(m, C)$ -isometric operator with a conjugation  $C$ , then  $\ker(T - \lambda) \subseteq C \ker((T^* - \frac{1}{\lambda})^m)$ .*

### 3 Skew $m$ -complex symmetric operators

In this section, we study properties of skew  $m$ -complex symmetric operators. In [7], if  $T$  is an  $m$ -complex symmetric operator, then  $T^n$  is also  $m$ -complex symmetric for some  $n$ . Unlike an  $m$ -complex symmetric operator (see [7] and [9]), the power of a skew  $m$ -complex symmetric operator is not skew  $m$ -complex symmetric.

**Example 3.1** If  $T = \begin{pmatrix} 1 & a & 0 \\ 0 & 0 & a \\ 0 & 0 & -1 \end{pmatrix}$  for  $a \in \mathbb{C}$ , then  $T$  is skew complex symmetry with the conjugation  $C(z_1, z_2, z_3) = (-\bar{z}_3, \bar{z}_2, -\bar{z}_1)$  from [18]. A simple calculation shows that

$$T^2 = \begin{pmatrix} 1 & a & a^2 \\ 0 & 0 & -a \\ 0 & 0 & 1 \end{pmatrix} \text{ and } -CT^2C = \begin{pmatrix} -1 & 0 & 0 \\ -a & 0 & 0 \\ -a^2 & a & -1 \end{pmatrix}.$$

Hence  $T^2$  is not skew complex symmetric with the conjugation  $C$ .

**Example 3.2** Let  $C$  be a conjugation given by  $C(z_1, z_2, z_3) = (\bar{z}_3, \bar{z}_2, \bar{z}_1)$  on  $\mathbb{C}^3$ . If  $T = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 2 \\ 0 & 0 & 0 \end{pmatrix}$  on  $\mathbb{C}^3$ , then  $T^* \neq CTC = \begin{pmatrix} 0 & 0 & 0 \\ 2 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix}$  and  $T^{*2} = CT^2C = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 2 & 0 & 0 \end{pmatrix}$ . Hence  $T^2$  is a 1-complex symmetric operator but  $T$  is not a 1-complex symmetric operator with conjugation  $C$ .

Now we will introduce exponential operators  $T := e^{-iA}$  which act on a wave function to move it in time and space (see [1]). Note that  $T$  is a function of an operator  $f(A)$  which is defined its expansion in a Taylor series

$$T = \exp(-iA) = \sum_{n=0}^{\infty} \frac{(-iA)^n}{n!} = 1 - iA + \frac{(-iA)^2}{2!} + \dots.$$

The most common one is the time-propagator or time-evolution operator  $U$  which is the Hamiltonian function and propagates the wave function forward in time;

$$U = \exp\left(\frac{-iHt}{h}\right) = 1 + \frac{-iHt}{h} + \frac{1}{2!}\left(\frac{-iHt}{h}\right)^2 + \dots.$$

For an operator  $T \in \mathcal{L}(\mathcal{H})$ , if  $t \in \mathbb{R}$ , then

$$e^{itT} = I + itT + \frac{(it)^2}{2!}T^2 + \frac{(it)^3}{3!}T^3 + \dots. \quad (6)$$

**Theorem 3.3** If  $T \in \mathcal{L}(\mathcal{H})$  is a skew  $m$ -complex symmetric operator with a conjugation  $C$ , then  $e^{itT}$ ,  $e^{-itT}$ , and  $e^{-itT^*}$  are  $(m, C)$ -isometric for every  $t \in \mathbb{R}$ .

In general, the converse of the previous theorem may not hold. But, if  $e^{itT}$  is  $(1, C)$ -isometric operator and  $T$  is a skew 2-complex symmetric operator with the conjugation  $C$ , then  $T$  is a skew complex symmetric operator.

**Corollary 3.4** *Let  $T \in \mathcal{L}(\mathcal{H})$ . Then the following statements hold:*

- (i) *Assume that  $T$  is skew  $m$ -complex symmetric with a conjugation  $C$ . If  $\lambda \in \sigma_a(e^{itT})$ , then  $\frac{1}{\lambda} \in \sigma_a(e^{-itT^*})$ . In particular, if  $\lambda \in \sigma_p(e^{itT})$ , then  $\frac{1}{\lambda} \in \sigma_p(e^{-itT^*})$ .*
- (ii) *If  $T$  is skew  $m$ -complex symmetric with a conjugation  $C$ , then  $e^{itnT}$  is an  $(m, C)$ -isometric operator for any  $n \in \mathbb{N}$ .*
- (iii) *Let  $\{T_k\}$  be a sequence of skew  $m$ -complex symmetric operators with a conjugation  $C$  such that  $\lim_{k \rightarrow \infty} \|e^{iT_k} - e^{itT}\| = 0$ . Then  $e^{itT}$  is an  $(m, C)$ -isometric operator.*

Recall that

$$\cos(tT) = \frac{e^{itT} + e^{-itT}}{2} \text{ and } \sin(tT) = \frac{e^{itT} - e^{-itT}}{2i}$$

for every  $t \in \mathbb{R}$ .

**Corollary 3.5** *Let  $T \in \mathcal{L}(\mathcal{H})$  be skew complex symmetric with a conjugation  $C$  and let  $t \in \mathbb{R}$ . Then the following statements hold.*

- (i)  *$\cos(tT)$  is a  $(1, C)$ -isometric operator if and only if  $\cos(2tT^*) = I$ .*
- (ii)  *$\sin(tT)$  is a  $(1, C)$ -isometric operator if and only if  $\cos(2tT^*) = -I$ .*

A closed subspace  $\mathcal{M} \subset \mathcal{H}$  is invariant for  $T$  if  $T\mathcal{M} \subset \mathcal{M}$ .

**Corollary 3.6** *If  $T \in \mathcal{L}(\mathcal{H})$  is skew  $m$ -complex symmetric and complex symmetric with a conjugation  $C$ , i.e.,  $T^* = CTC$ , then the following statements hold:*

- (i)  *$e^{itT}$  is an algebraic operator of order at most  $2m$ .*
- (ii)  *$C \ker(\Gamma_{m-1}(e^{itT}; C))$  is invariant for  $e^{itT}$ .*

**Corollary 3.7** *If  $T \in \mathcal{L}(\mathcal{H})$  is skew  $m$ -complex symmetric and complex symmetric with a conjugation  $C$ , then the following statements hold.*

- (i)  *$e^{itT}$  is unitarily equivalent to a finite operator matrix of the form:*

$$\begin{pmatrix} \alpha_1 & A_{12} & \cdots & \cdots & \cdots & A_{1,2m} \\ 0 & \alpha_2 & A_{23} & \cdots & \cdots & A_{2,2m} \\ 0 & 0 & \alpha_3 & \ddots & \vdots & \vdots \\ 0 & 0 & \cdots & \ddots & \ddots & \vdots \\ 0 & 0 & \cdots & \cdots & \ddots & A_{2m-1,2m} \\ 0 & 0 & \cdots & \cdots & \cdots & \alpha_{2m} \end{pmatrix}$$

where  $\alpha_j$  are the roots of the polynomial  $p(z)$  of degree at most  $2m$ .

- (ii) *The dimension of  $\bigvee_{k=0}^{\infty} \{(e^{itT})^k x\}$  is less than or equals to  $2m$ .*

It is known from [15] that if  $T$  is  $m$ -symmetric and  $m$  is even, then  $T$  is  $(m-1)$ -symmetric. In 2012, M. Chō, S. Ōta, K. Tanahashi, and A. Uchiyama proved that if  $T$  is an invertible  $m$ -isometric operator and  $m$  is even, then  $T$  is an  $(m-1)$ -isometric operator (see [6] for more details). In view of these results, we will consider the following question; *if  $T \in \mathcal{L}(\mathcal{H})$  is skew  $m$ -complex symmetric with a conjugation  $C$  and  $m$  is even, is it skew  $(m-1)$ -complex symmetric?* In the next theorem, we give a partial solution for the previous question.

**Theorem 3.8** *Let  $T \in \mathcal{L}(\mathcal{H})$  and let  $C$  be a conjugation on  $\mathcal{H}$ . Suppose that  $\Lambda_{m-1}(e^{itT}; C)$  and  $((e^{itT})^*)^{m-1}\Lambda_{m-1}(e^{-itT}; C)C(e^{itT})^{m-1}C$  are nonnegative. If  $T$  is a skew  $m$ -complex symmetric operator with the conjugation  $C$  where  $m$  is even, then  $T$  is skew  $(m-1)$ -complex symmetric and  $e^{itT}$  is an  $(m-1, C)$ -isometric operator for all  $t \in \mathbb{R}$ .*

**Corollary 3.9** *If  $T \in \mathcal{L}(\mathcal{H})$  is skew  $m$ -complex symmetric with a conjugation  $C$ ,  $m$  is even, and  $[T, C] = 0$ , then  $T$  is skew  $(m-1)$ -complex symmetric.*

## 4 On an operator $T$ commuting with $CTC$

In this section, we focus on an operator  $T$  commuting with  $CTC$ . Given  $T \in \mathcal{L}(\mathcal{H})$  and a conjugation  $C$  on  $\mathcal{H}$ , let

$$\mathcal{C}_C(T) := \{S \in \mathcal{L}(\mathcal{H}) \mid [CTC, S] = 0\}$$

where  $[R, S] := RS - SR$ . In this section, we study the case when

$$T \in \mathcal{C}_C(T), \quad \text{that is,} \quad [CTC, T] = 0.$$

We observe that  $\mathcal{C}_C(T)$  need not contain complex symmetric operators.

**Example 4.1** Let  $\mathcal{H} = \ell^2$ , let  $\{e_n\}$  be an orthonormal basis of  $\mathcal{H}$  and let  $C : \mathcal{H} \rightarrow \mathcal{H}$  be the conjugation given by  $C(\sum_{n=0}^{\infty} x_n e_n) = \sum_{n=0}^{\infty} \overline{x_n} e_n$  where  $\{x_n\}$  is a sequence in  $\mathbb{C}$  with  $\sum_{n=0}^{\infty} |x_n|^2 < \infty$  and  $Ce_n = e_n$  for all  $n$ . If  $W \in \mathcal{L}(\mathcal{H})$  is the weighted shift given by  $We_n = \alpha_n e_{n+1}$  for all  $n \geq 0$ , then it is easy to compute  $WCWCe_n = CWCWe_n$  for all  $n$ . Hence  $W \in \mathcal{C}_C(W)$ . In particular, if  $\alpha_n = 1$  for all  $n$ , then  $W = S$  is the unilateral shift and so  $S \in \mathcal{C}_C(S)$ . However,  $S$  is not complex symmetric.

Recall that an operator  $T \in \mathcal{L}(\mathcal{H})$  is said to be *normal* if  $T^*T = TT^*$  and *binormal* if  $T^*T$  and  $TT^*$  commute where  $T^*$  is the adjoint of  $T$ . Note that every normal operator is binormal.

**Example 4.2** Let  $\mathcal{H} = \mathbb{C}^2$  and let  $C$  be a conjugation on  $\mathcal{H}$  given by  $C(x, y) = (\overline{y}, \overline{x})$ . Assume that  $R = \begin{pmatrix} i & 1 \\ 1 & -i \end{pmatrix}$  on  $\mathcal{H}$ . Then  $CRC = \begin{pmatrix} i & 1 \\ 1 & -i \end{pmatrix} = R$ . Hence  $R \in \mathcal{C}_C(R)$ . However,  $R$  is not normal, but binormal.

**Example 4.3** Let  $C$  and  $J$  be conjugations on  $\mathcal{H}$ . Assume that  $T = \begin{pmatrix} 0 & CJ \\ I & 0 \end{pmatrix}$  and  $\mathcal{J} = \begin{pmatrix} 0 & J \\ J & 0 \end{pmatrix}$  on  $\mathcal{H} \oplus \mathcal{H}$ . Then  $\mathcal{J}T\mathcal{J}T = T\mathcal{J}T\mathcal{J} = \begin{pmatrix} I & 0 \\ 0 & I \end{pmatrix}$ . Hence  $T \in \mathcal{C}_{\mathcal{J}}(T)$  is normal.

In the next example, we know that there exists  $T$  such that  $T \notin \mathcal{C}_C(T)$ , in general.

**Example 4.4** Let  $\mathcal{H} = \mathbb{C}^n$  and  $C(z_1, z_2, z_3, \dots, z_n) = (\overline{z_n}, \dots, \overline{z_3}, \overline{z_2}, \overline{z_1})$ . If

$$T = \begin{pmatrix} 0 & \lambda_1 & 0 & \dots & 0 \\ 0 & 0 & \lambda_2 & 0 & \dots & 0 \\ \vdots & \vdots & \ddots & \ddots & \dots & 0 \\ \vdots & \vdots & . & 0 & \ddots & 0 \\ . & . & . & . & 0 & \lambda_{n-1} \\ 0 & 0 & . & . & \dots & 0 \end{pmatrix} \quad \text{and} \quad e_1 = \begin{pmatrix} 1 \\ 0 \\ \vdots \\ \vdots \\ \vdots \\ 0 \end{pmatrix}$$

for all  $\lambda_j \neq 0$ , then  $0 = (CTC)Te_1 \neq T(CTC)e_1 = \lambda_1 \cdot \overline{\lambda_{n-1}} \cdot e_1$ . Hence  $T \notin \mathcal{C}_C(T)$ . But, it is clear that  $T$  is binormal.

**Theorem 4.5** *If  $T \in \mathcal{L}(\mathcal{H})$  is a normal operator, then  $T \in \mathcal{C}_C(T)$  for some conjugation  $C$ .*

Note that every normal operator is complex symmetric (see [11]).

**Proposition 4.6** *Let  $T \in \mathcal{C}_C(T)$  for some conjugation  $C$ . Then the following statements hold.*

- (i)  $T^* \in \mathcal{C}_C(T^*)$ .
- (ii)  $p(T) \in \mathcal{C}_C(p(T))$  for every polynomial  $p$ .
- (iii) If  $T$  is invertible, then  $T^{-1} \in \mathcal{C}_C(T^{-1})$ .
- (iv) If  $X \in \mathcal{L}(\mathcal{H})$  is invertible with  $[X, C] = 0$ , then  $X^{-1}TX \in \mathcal{C}_C(X^{-1}TX)$ .
- (v) If  $R \in \mathcal{L}(\mathcal{H})$  is unitarily equivalent to  $T$ , i.e.,  $R = UTU^*$ , then  $R \in \mathcal{C}_D(R)$  for a conjugation  $D = UCU^*$ .
- (vi)  $[T^m, CT^nC] = 0$  for all  $n, m \in \mathbb{N}$ .
- (vii) The class of operators which satisfy  $T \in \mathcal{C}_C(T)$  is norm closed.

**Proposition 4.7** *Let  $C, C_1, C_2$  be conjugations on  $\mathcal{H}$ . Then the following statements hold.*

- (i) If  $T_i \in \mathcal{L}(\mathcal{H}_i)$  be such that  $T_i \in \mathcal{C}(T_i)$  for conjugations  $C_i$  with  $i = 1, 2$ , respectively, then  $T_1 \oplus T_2 \in \mathcal{C}_{C_1 \oplus C_2}(T_1 \oplus T_2)$  for a conjugation  $C_1 \oplus C_2$ .
- (ii) Let  $T \in \mathcal{C}_C(T)$  and  $S \in \mathcal{C}_C(S)$ . If  $[T, S] = 0$  and  $[CTC, S] = 0$ , then  $T+S \in \mathcal{C}_C(T+S)$  and  $TS \in \mathcal{C}_C(TS)$  for a conjugation  $C$ .
- (iii) If  $T \in \mathcal{C}_{C_1}(T)$  and  $S \in \mathcal{C}_{C_2}(S)$  for conjugations  $C_1$  and  $C_2$ , respectively, then  $T \otimes S \in \mathcal{C}_{C_1 \otimes C_2}(T \otimes S)$  for a conjugation  $C_1 \otimes C_2$ .

In [11], if  $T$  is complex symmetric, then  $\operatorname{Re} T$  and  $\operatorname{Im} T$  are complex symmetric.

**Proposition 4.8** *Let  $T \in \mathcal{C}_C(T)$ . Then the following statements hold:*

- (i) *Let  $R = \frac{T + CTC}{2}$  and  $S = \frac{T - CTC}{2i}$ . Then  $R$  and  $S$  belong to  $\mathcal{C}_C(T)$  such that  $T = R + iS$  and  $[R, S] = 0$ ,  $[R, C] = 0$ , and  $[S, C] = 0$  hold.*
- (ii) *If  $T$  is normal, then  $\operatorname{Re} T \in \mathcal{C}_C(\operatorname{Re} T)$  and  $\operatorname{Im} T \in \mathcal{C}_C(\operatorname{Im} T)$ .*

**Lemma 4.9** ([17]) *Let  $T \in \mathcal{L}(\mathcal{H})$  and let  $C$  be a conjugation on  $\mathcal{H}$ . Then  $\sigma(CTC) = \sigma(T)^*$  and  $\sigma_a(CTC) = \sigma_a(T)^*$ .*

Therefore, if  $T$  satisfies  $[T, C] = 0$ , then  $\sigma(T) = \sigma(T)^*$ , that is,  $\sigma(T)$  is a symmetric set with the real line. For a commuting pair  $(T, S) \in \mathcal{L}(\mathcal{H})^2$ ,  $\sigma_T(T, S)$  and  $\sigma_{ja}(T, S)$  denote the *Taylor spectrum* and the *joint approximate point spectrum* of  $(T, S)$ , respectively (see [2] and [19] for more details).

**Corollary 4.10** *Let  $T \in \mathcal{C}_C(T)$ . Then there exist commuting operators  $R$  and  $S$  such that the following statements hold:*

- (i)  *$T = R + iS$  and  $(T, R, S)$  is a commuting 3-tuple.*
- (ii)  *$\sigma(R)$  and  $\sigma(S)$  are symmetric sets with the real line.*
- (iii) *If  $\lambda \in \sigma(T)$ , then there exist  $\alpha \in \sigma(R)$  and  $\beta \in \sigma(S)$  such that  $\lambda = \alpha + i\beta$ .*
- (iv) *If  $\alpha \in \sigma(R)$ , then there exist  $\lambda \in \sigma(T)$  and  $\beta \in \sigma(S)$  such that  $\lambda = \alpha + i\beta$ .*
- (v) *If  $\beta \in \sigma(S)$ , then there exist  $\lambda \in \sigma(T)$  and  $\alpha \in \sigma(R)$  such that  $\lambda = \alpha + i\beta$ .*

Remark that the statements (iii), (iv) and (v) hold for the approximate point spectra  $\sigma_a(T)$ ,  $\sigma_a(R)$  and  $\sigma_a(S)$ . Please see [2] for the spectral mapping theorem for the joint approximate point spectrum.

For an operator  $T \in \mathcal{L}(\mathcal{H})$  and a conjugation  $C$ , we define the operator  $\alpha_m(T; C)$  by

$$\alpha_m(T; C) = \sum_{j=0}^m (-1)^j \binom{m}{j} C T^{m-j} C \cdot T^j.$$

An operator  $T \in \mathcal{L}(\mathcal{H})$  is said to be an  $[m, C]$ -symmetric operator if  $\alpha_m(T; C) = 0$  (see [5]).

**Theorem 4.11** *If  $T \in \mathcal{C}_C(T)$  is an  $[m, C]$ -symmetric operator, then  $CTC - T$  is  $m$ -nilpotent, i.e.,  $(CTC - T)^m = 0$ .*

**Corollary 4.12** *If  $T \in \mathcal{C}_C(T)$  is an  $[m, C]$ -symmetric operator, then*

$$\sigma_T(CTC, T) = \{(\lambda, \lambda) : \lambda \in \sigma(T)\}.$$

*In this case, it holds  $\sigma(CTC) = \sigma(T) = \sigma(T)^*$ . Moreover, it holds  $\sigma_{ja}(CTC, T) = \{(\lambda, \lambda) : \lambda \in \sigma_a(T)\}$ .*

For an operator  $T \in \mathcal{L}(\mathcal{H})$ ,  $T$  is said to be *normaloid* if  $r(T) = \|T\|$ , where  $r(T)$  is the spectral radius of  $T$ .

**Corollary 4.13** *Let  $T \in \mathcal{C}_C(T)$  be an  $[m, C]$ -symmetric operator. If  $CTC - T$  is normaloid, then  $CTC - T = 0$ .*

For an operator  $T \in \mathcal{L}(\mathcal{H})$  and a conjugation  $C$ , we define the operator  $\lambda_m(T; C)$  by

$$\lambda_m(T; C) = \sum_{j=0}^m (-1)^j \binom{m}{j} C T^{m-j} C \cdot T^{m-j}.$$

An operator  $T \in \mathcal{L}(\mathcal{H})$  is said to be an  $[m, C]$ -isometric operator if  $\lambda_m(T; C) = 0$ . See [4] for properties of  $[m, C]$ -isometric operators.

**Theorem 4.14** *If  $T \in \mathcal{C}_C(T)$  is an  $[m, C]$ -isometric operator, then  $CTCT - I$  is  $m$ -nilpotent, i.e.,  $(CTCT - I)^m = 0$ .*

**Corollary 4.15** *If  $T \in \mathcal{C}_C(T)$  is an  $[m, C]$ -isometric operator, then  $\sigma_T(CTC, T) = \{(\frac{1}{\lambda}, \lambda) : \lambda \in \sigma(T)\}$ . In this case, it holds  $\sigma(CTC) = \{\frac{1}{\lambda} : \lambda \in \sigma(T)\}$ . Moreover, it holds  $\sigma_{ja}(CTC, T) = \{(\frac{1}{\lambda}, \lambda) : \lambda \in \sigma_a(T)\}$ .*

**Theorem 4.16** *Let  $T \in \mathcal{L}(\mathcal{H})$  be complex symmetric with a conjugation  $C$ . Suppose that  $T = U|T|$  is the polar decomposition of  $T$  where  $U = CJ$  and  $J$  is a partial conjugation supported on  $\overline{\text{ran}(|T|)}$ , which commutes with  $|T|$ . Then the following statements are equivalent.*

- (i)  $T$  is binormal.
- (ii)  $|T| \in \mathcal{C}_C(|T|)$ .
- (iii)  $[\tilde{T}^D, |T|] = 0$  where  $\tilde{T}^D := |T|U$  is the Duggal transform of  $T$ .

**Corollary 4.17** *Let  $T \in \mathcal{L}(\mathcal{H})$  be such that  $T^2$  is normal. Then  $|T| \in \mathcal{C}_C(|T|)$ .*

**Example 4.18** Let  $T = \begin{pmatrix} 1 & 2 \\ 0 & 1 \end{pmatrix}$  on  $\mathbb{C}^2$ . Then  $T$  is complex symmetric with the conjugation  $C$  defined by  $C(z_1, z_2) = (\overline{z_2}, \overline{z_1})$  for  $z_1, z_2 \in \mathbb{C}$ . Since  $|T| = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ 1 & 3 \end{pmatrix}$ , it follows that

$$C|T|C|T| = \begin{pmatrix} 2 & 3 \\ 1 & 2 \end{pmatrix} \text{ and } |T|C|T|C = \begin{pmatrix} 2 & 1 \\ 3 & 2 \end{pmatrix}.$$

Hence  $T$  is not binormal by Theorem 4.16.

**Example 4.19** Let  $\mathcal{H} = \ell^2$  and let  $C$  be the canonical conjugation given by  $C(\sum_{n=0}^{\infty} x_n e_n) = \sum_{n=0}^{\infty} \overline{x_n} e_n$  with  $Ce_n = e_n$  for all  $n$ . Assume that  $T = \begin{pmatrix} S^* & I \\ 0 & S \end{pmatrix}$  on  $\mathcal{H} \oplus \mathcal{H}$ , where  $S \in \mathcal{L}(\mathcal{H})$  is the unilateral shift. Then  $S$  and  $S^*$  commute with the conjugation  $C$ . Denote the conjugation  $\mathcal{C}$  given by  $\mathcal{C} = \begin{pmatrix} 0 & C \\ C & 0 \end{pmatrix}$ . Then we obtain that

$$CT^* - TC = \begin{pmatrix} C & CS^* \\ CS & 0 \end{pmatrix} - \begin{pmatrix} C & S^*C \\ SC & 0 \end{pmatrix} = 0.$$

Hence  $T$  is a complex symmetric operator (cf.[14]). Moreover, since  $T = \begin{pmatrix} S^* & I \\ 0 & S \end{pmatrix}$ , it follows that  $T^*T = \begin{pmatrix} SS^* & S \\ S^* & 2I \end{pmatrix}$  and  $TT^* = \begin{pmatrix} 2I & S^* \\ S & SS^* \end{pmatrix}$ . So, we have  $TT^*T^*T = \begin{pmatrix} 2SS^* + S^{*2} & 2S + 2S^* \\ S^2S^* + SS^{*2} & S^2 + 2SS^* \end{pmatrix}$  and  $T^*TTT^* = \begin{pmatrix} S^2 + 2SS^* & SS^{*2} + S^2S^* \\ 2S + 2S^* & S^{*2} + 2SS^* \end{pmatrix}$ . Hence  $T$  is not binormal. On the other hand, if  $S$  is the unilateral shift on  $\mathcal{H}$ , then  $T = S^* \oplus S$  is binormal and complex symmetric.

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